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PTO/SB/05 (4/98)  
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 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<div>UTILITY</div> <div>PATENT APPLICATION</div> <div>TRANSMITTAL</div> <div>(Only for new nonprovisional applications under 37 CFR 1.53(b))</div>	Attorney Docket No.		003918.P012X	
	First Inventor or Application Identifier		Wenbin Jiang	
	Title	METHOD AND APPARATUS FOR PARALLEL OPTICAL		
	Express Mail Label No.	EL466333248US		

<p><b>APPLICATION ELEMENTS</b></p> <p><i>See MPEP chapter 600 concerning utility patent application contents</i></p>	<p><b>ADDRESS TO:</b></p> <p>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</p>
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1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 41]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed )
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
4. Oath or Declaration [Total Pages 4]
- a. ☐ Newly executed (original copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (*Appendix*)
6. Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☒ \*Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other: .....

**\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

**16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:**

☐ Continuation    ☐ Divisional    ☒ Continuation-in-part (CIP)    of prior application No: 09/321,308 &


Prior application Information: Examiner \_\_\_\_\_ Group/Art Unit: 09/320,409

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☐ Customer Number of Bar Code Label  or ☒ Correspondence address below

<b>Name</b>	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP				
<b>Address</b>	12400 Wilshire Boulevard, Seventh Floor				
<b>City</b>	Los Angeles	<b>State</b>	California	<b>Zip Code</b>	90025
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Name (Print/Type)	William E. Afford, Reg. No. 37,764		
Signature		Date	08/30/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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<h2 style="margin: 0;">FEE TRANSMITTAL for FY 2000</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R §§ 1.27 and 1.28.</p>		<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td>August 30, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Wenbin Jiang</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group/Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>003918.P012X</td> </tr> </table>		Application Number		Filing Date	August 30, 2000	First Named Inventor	Wenbin Jiang	Examiner Name		Group/Art Unit		Attorney Docket No.	003918.P012X
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TOTAL AMOUNT OF PAYMENT	(\$)	567.00													

09/651140  
 08/30/00

<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT (check one)</h3> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees to:  <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any over payments to:</p> <p>Deposit Account Number: <span style="border: 1px solid black; padding: 2px 20px;">02-2666</span></p> <p>Deposit Account Name: <span style="border: 1px solid black; padding: 2px 50px;">Blakely, Sokoloff, Taylor &amp; Zafman LLP</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <h3 style="text-align: center; margin: 0;">FEE CALCULATION</h3> <div style="border: 1px solid black; padding: 5px;"> <h4 style="margin: 0;">1. 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EXTRA CLAIM FEES</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th colspan="2">Extra Claims</th> <th colspan="2">Fee from below</th> <th colspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>102</td> <td>78</td> <td>202</td> <td>39</td> <td>Independent claims in excess of 3</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>104</td> <td>130</td> <td>204</td> <td>130</td> <td>Multiple Dependent claim, if not paid</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>109</td> <td>78</td> <td>209</td> <td>39</td> <td>**Reissue independent claims over original patent</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>**Reissue claims in excess of 20 and over original patent</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="8" style="text-align: right;"><b>SUBTOTAL (2)</b></td> <td style="border: 1px solid black; 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119	300	219	150	Notice of Appeal																																																																																																																																																																																																																																																																																																																		
120	300	220	150	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																																																		
121	260	221	130	Request for oral hearing																																																																																																																																																																																																																																																																																																																		
138	1,510	138	1510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																																		
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																																																		
141	1,210	241	605	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																																		
142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																																		
143	430	243	215	Design issue fee																																																																																																																																																																																																																																																																																																																		
144	580	244	290	Plant issue fee																																																																																																																																																																																																																																																																																																																		
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																		
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																																		
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																																		
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																																		
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																																		
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																																																																																		
Other fee (specify) _____																																																																																																																																																																																																																																																																																																																						
Other fee (specify) _____																																																																																																																																																																																																																																																																																																																						
<b>SUBTOTAL (3)</b>					<b>(\$)</b>																																																																																																																																																																																																																																																																																																																	

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name	William E. Alford			Reg. Number	37,764
Signature				Date	08/30/00
				Deposit Account User ID	02-2666